

DW WKH WLPH R

DSSOLFDWLRQ

- x 3DFH 8QLYHUVLWLUVWVWIKLGHQ WHD UQZMUK DI \$FFRDXQW GQR
- %%\$,QIRUPDWLRQ QVQIGP\$QRO\WQFVZKR DUH DOVR
- x 3DFH 8QLYHUVLWLUVWVWXGWRU LIQIWKH H\$FURXQWLVQKH
- %%\$ 0%\$ SURJUDP RU WKH KRXU \$FFRXQWLQJ SUR
- x 3DFH 8QLYHUVLW\ *UDGXDWH 0%\$ \$FFRXQWLQJ VWXG
- x 3DFH 8QLYHUVLW\ QDVZ 6LFK RWKH VFR RGHQHSUR%\$ DP GRU
- \$FFRXQWLQJ RU 6 & DQG 7HFKQRORJ\ DQG

R XSWR QHJUDGXDWLRQ

6FKRODUVKLS DZDUGV ZLOO EH JUDVXLHWL DQ DQDGRU DQGD PWH
 PD\ EH UHQHZHG \WVXLPJKWH Q HDZOG RQH YLHHZHSGL HDSVOLF DWLHFH
 DZDUG IRU QR PRUH WKDQ WkuHH DR DQWRLD QGD BU IGXWUQH DV
 XQGHUJUDGXDWL VWXG\ DW 3DFH DV ORQJ DV WKH\ UHPDLQ
 6WXGHQWV PXVW EH 86 FLWL]HQV WR EH HOLJLEOH IRU WKLV
 IRU DOO DZDUG UHFLSLHQWV <RLX E M VIV UD W WWH QG KVR KD GLK QCSH

'HDGOLQH IRU VFKRODUVKLS2DF SVRCELFD WLRQV :HGQHVG D\

1HZ RUNLW VWXGHQW DSSOLFDWLRQV VKRXOG EH VHQW RU GURS
 'U 6XVDQQH 21&DOODJKDQ VRFDOODJYORR#SDHMWHG1XHZ± <R3JNF

6WXGHQWV DSSO\LQJ IRU WKH VFKRODUVKLS PXVW
&RPSOHWH WKH 6FKRODUVKLS)XQGDIWUR(QFHO.3HQBILWQSF
DQG SURIHVVLRQDOV DSSOLFDWLRQ
\$WWDFK D FXUUHQW UHVXPH
\$WWDFK D FXUUHQW WUDQVFULSW
6XEPLW 7:2 UHFRPPHQGDWLRQ IRUPV
6XEPLW D SHUVRQDO VWDWHPHQWRØLPLRHXOGVERH JUDQWGHV
([FHOOHQFH LQ \$FFRXQWLQJ (GXFDWLRQDZ\D.ZDU\$DUWQHU
6XEPLW D SURIHVVLRQDO VWDWHPHQW RQ KRZ \RX VHH \R)

)RU VXEPLVVLRQ GHWDLOV DQG TXHVVWLRQV FRQWDFW
'U %DUEDUD)DUUHOO [EIDUUHOO#SDFH HGX](#)



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7KH 6FKRODUVKLS DFGH LIR \$ FFRXQWLQJ 30 (GXFDWLRP
3DUWQHUV DQG 3URIHVVLQRDOV \$SSOLFR

1 D P H

ODLQLQJ \$GGUHV

7HOHSKRQH
&HOO SKRQH

(P D L O D G G U H V

&XUUHQWO\ (QUROOHG DV
8QGHUJUDGXDW 6WXGHQW
' -XQLR\H DU ± 0DMRU
' 6HQLR\H DU ± 0DMRU
')LIWK \H DU ± 0DMRU

*UDGXDW 6WXGHQW
' % % \$ 0 % \$ VWXGHQW
' 0 % \$ 0 6 6WXGHQW ± 0DMRU

/DZ 6FKRRO
' 0 % \$ -'

+DYH \RX SUHYLRXVO\ DSSOLHG IRU FDKL RQ FFRXQWLQJ \$ FFR
3DUWQHUV DQG 3URIHVVLQRDOV" ' <HV ' 12

+DYH \RX SUHYLRXVO\ UHFHLYHG WKHL RQ FFRXQWLQJ \$ FFR X
3DUWQHUV DQG 3URIHVVLQRDOV" ' <HV ' 12

\$UH \RX D 8 6 &LWL]HQ" ' <HV ' 12

+DYH \RX FRPSOHWHG ,QWHUPHGLDWH \$FFRXQWLQJ , " ' <HV ' 12

:LOO \RX EH &3\$ H[DP HOLJLEOH E\ JUDGXDWLRQ" ' <HV ' 12

:LOO \RX EH HQUROOHG DV D IXOOGLPHQW VWXGHQW HLQ ERWK)

7R EH HOLJLEOH IRU WKH VFKRODUVKLS WR XV FRMHUHQW QUROO

\$UH \RX FXUUHQWO\ UHFHLYLQJ DW 3DYH \RX UHFHLYHG VFKR

,I VR ZKLFK RQH" BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB
3HUFHQWDJH RU DPRXQW RI VFKRODUVKLS UHFHLYHG BBBBBB

237,21\$/

(WKQLFLW\ ' \$IULFDQ \$PHULFDQ

' \$VLDQ \$VLDQ \$PHULFDQ RU 3DFLILF ,VODQGHU

' &DXFDVLDQ

' +LVSDQLF

' \$PHULFDQ ,QGLDQ SOHDVH LQGLFDWH WULEDO DIIL

' 2WKHU SOHDVH VSHFLI\

3(5621\$/ 67\$7(0(17

,Q ZRUGV RU ~~W~~ ~~W~~ ~~Z~~ ~~K~~ ~~H~~ ~~X~~ ~~H~~ ~~K~~ ~~R~~ ~~K~~ ~~O~~ ~~F~~ ~~H~~ ~~O~~ ~~J~~ ~~U~~ ~~D~~ ~~F~~ ~~Q~~ ~~W~~ ~~H~~ ~~G~~ ~~S~~ ~~V~~ ~~K~~ ~~R~~ ~~X~~ ~~Q~~
(GXFDWLRQ 6FKRODUVKLS DZDUG

352)(66,21\$/ 67\$7(0(17

,Q ZRUGV RU ~~W~~ ~~H~~ ~~V~~ ~~K~~ ~~R~~ ~~Z~~ ~~O~~ ~~R~~ ~~X~~ ~~D~~ ~~W~~ ~~H~~ ~~H~~ ~~W~~ ~~D~~ ~~X~~ ~~U~~ ~~F~~ ~~D~~ ~~U~~ ~~H~~ ~~H~~ ~~G~~ ~~H~~ ~~Y~~ ~~H~~ ~~O~~ ~~R~~ ~~S~~ ~~L~~ ~~Q~~
ILYH \H DUV VW D U W L Q Q G Z E W Q Y L R Z F H R W K Z \ B X * U H F U X W U R Q J F
WR EH KLUHG DIWHU JUDGXDWLRQ

92/817((5 \$&7,9,7,(6

3OHD\$H/ QIRVXQWHHU DFVHLQ LLVQLYRVOYRHX KZLYHK EFRWK ZLWKLG
8QLYHUVLW\ ZLWKLQ(\$56HEDVW/ GR BREW ORLOM SLMW MROXG
DFWL\$HWXHVHPHQWV RI FRKLSVHDURHU 127KRRDIXQWHHU DFWL

'HVFULSWLRQ 3RVLWLRQ +HOG 0HPEHU RU 2IILFH
\RX ZHUH DQ RGHVFIUWL ESHOWDWHO

, FHUWLI\ WKDW WGHUW DWUXPHVNOQW KGHJWVPRISPIWDLQ VLRQ
IRU WKH LQIRUPDWLRQ FURGHZLWKG WKHUMFLQRWDRUE/KLSKDHQH

6 L J Q D W X U H



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7KH 6FKRODUVKLS)XQGIRU ([FHOOHQFH LQ \$FFRXQWLQJ βGXFHWLIRQQD'OV3Z1
.30* 3DUWQHUV DQG 3URIHVVLRQDOV 6FKF
5HFRPPHQGDWLRQ)RUP

7KH)XQG IRU ([FHOOHQFH LQ \$FFRXQWLQJ βGXFHWLIRQQD'OV3Z1
HVVDEOLVKHG E\ .30* //& WR SURPRWUHQVXQD'VLRQD'HH\$QH0E
)XQG ZLOO SURYLGH VFKRODUVKLS DZDUGV RI IRU
VSULQJ VHPHVWHU HDFK WR RXWLQVWDEOLQJEVXQVXQVZIK%X
TXDOLILHG DFFRXQWLQJ SURJUDP DQDQGLRQD'VLRQD'QV DQJ 6*
HOLJLEOH IRU WKHVH DZDUGV PXVMUHQVXQVWLLDWHH WCFRRDXWH

,Q ZKDW FDSDFLW\ KDYH \RX NQRZQ WKH DSSOLFDQW"

BB

:KDW LV \RXU RYHUDOO UHFRPPHQGDWLRQ IRU WKLV FDQGLGD
(GXFDWLRQ E\ .30* 3DUWQHUV DQG 3URIHVVLRQDOV 6FKRODUV

' 6WURQJO\ UHFRPPHQG QG ' 5
' 5HFRPPHQG ZLWK VRPH UHVHUYDWLRQ ' 'R QR

:KDW DUH WKH DSSOLFDQW V SULRULVWUHSQMDKWHDCGVEHDE
LQVWDQFHV RU DFFRPSOLVKPHQWV ZKLFK GHPRQVUDWH WKH

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7KH 6FKRODUVKLS)XQG IRU ([FHOOHQF

,Q ZKDW FDSDFLW\ KDYH \RX NQRZQ WKH DSSOLFDQW"

BB

:KDW LV \RXU RYHUDOO UHFRPPHQGDWLRQ IRU WKLV FDQGLGD
(GXFDWLRQ E\ .30* 3DUWQHUV DQG 3URIHVVLRQDOV 6FKRODUV

' 6WURQJO\ UHFRPPHQG QG ' 5
' 5HFRPPHQG ZLWK VRPH UHVHUYDWLRQ ' 'R QR

:KDW DUH WKH DSSOLFDQW V SULRULVWUHSQMDKWHDCGVEHDE
LQVWDQFHV RU DFFRPSOLVKPHQWV ZKLFK GHPRQVUDWH WKH
